

## FORMALITIES LETTER



\*OC00000005327880\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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09/605,414

06/28/2000

Chang-Rok Lee

P56112

Robert E Bushnell  
Suite 300  
1522 K Street NW  
Washington, DC 20005



Date Mailed: 08/16/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$90.  
■ \$90 for 5 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 910.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/28/2000	SSSHE1	00000033	09605414	690.00	OP
				90.00	OP
				130.00	
01	FC:101				
02	FC:103				
03	FC:105				

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Chang-Rok LEE, et al.

Serial No.: 09/605,414

Examiner: *To Be Assigned*

Filed: 28 June 2000

Art Unit: 2715

For: *FREQUENCY MODULATION (FM) RECORDING APPARATUS OF VIDEO TAPE RECORDER*



**RESPONSE TO NOTICE TO FILE MISSING PARTS**

The Assistant Commissioner  
of Patents  
Washington, D.C. 20231

Sir:

Applicants submits herewith the original Notice to File Missing Parts of Application dated 16 August 2000 and Applicant's check drawn to the order of the Commissioner of the Patents in the total amount of \$910.00. The Commissioner is authorized to charge Deposit Account No. 02-4943 should any underpayment occur.

Respectfully submitted,

Robert E. Bushnell  
Reg. No.: 27,774  
Attorney for the Applicant

1522 "K" Street, N.W., Suite 300  
Washington, D.C. 20005  
(202) 408-9040

Folio: P56112  
Date: 9/27/00  
I.D.: REB/xl

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Chang-Rok LEE, et al.

Serial No.: 09/605,414

Examiner: *To Be Assigned*

Filed: 28 June 2000

Art Unit: 2715

For: *FREQUENCY MODULATION (FM) RECORDING APPARATUS OF VIDEO TAPE RECORDER*



**TRANSMITTAL OF DECLARATION**

The Assistant Commissioner  
of Patents  
Washington, D.C. 20231

Sir:

Accompanying this transmittal is a Declaration for the above-referenced application.

Respectfully submitted,

  
\_\_\_\_\_  
Robert E. Bushnell  
Reg. No.: 27,774  
Attorney for the Applicant

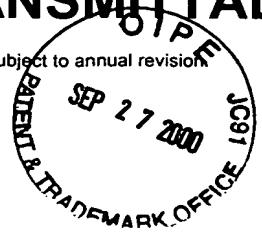
1522 "K" Street, N.W., Suite 300  
Washington, D.C. 20005-1202  
(202) 408-9040

Folio: P56112  
Date: 9/27/00  
I.D.: REB/xl

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**FEE TRANSMITTAL**

Patent fees are subject to annual revision.



TOTAL AMOUNT OF PAYMENT (\$ 950.00)

**METHOD OF PAYMENT (check one)**

- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 02-4943

Deposit Account Number: \_\_\_\_\_

Charge Any Additional Fee Required Under 37 C.F.R. §1.16 and 1.17.

Applicant claims small entity status. See 37 CFR 1.27

**2. ■ Payment Enclosed:  
(CHECKs #37419 & 37420)**

Check  Credit Card  Money Order  Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	\$690.00
106	310	206	155	Design filing fee	\$
107	480	207	240	Plant filing fee	\$
108	690	208	345	Reissue filing fee	\$
114	150	214	75	Provisional filing fee	\$
				<b>SUBTOTAL (1)</b>	<b>(\$ 690.00)</b>

**2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total claims	25	-20** = 5 x 18 =	\$90.00
Independent Claims	3	- 3** = x =	

Multiple Dependent =

\*\* or-number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
				<b>SUBTOTAL (2)</b>
				<b>(\$ 90.00)</b>

Complete If Known							
Application Number		09/605,414					
Filing Date		28 June 2000					
First Named Inventor		Chang-Rok LEE					
Examiner Name		To Be Assigned					
Group/Art Unit		2715					
Attorney Docket No.		P56112					
FEE CALCULATION (continued)							
3. ADDITIONAL FEES							
Large Entity	Small Entity						
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid	
105	130	205	65	Surcharge-late filing fee or oath		\$130.00	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet		\$	
139	130	139	130	Non-English specification		\$	
147	2,520	147	2,520	For filing a request for reexamination		\$	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action		\$	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		\$	
115	110	215	55	Extension for reply within first month		\$	
116	380	216	190	Extension for reply within second month		\$	
117	870	217	435	Extension for reply within third month		\$	
118	1,360	218	680	Extension for reply within fourth month		\$	
128	1,850	228	925	Extension for reply within fifth month		\$	
119	300	219	150	Notice of Appeal		\$	
120	300	220	150	Filing a brief in support of an appeal		\$	
121	260	221	130	Request for oral hearing		\$	
138	1,510	138	1,510	Petition to institute a public use proceeding		\$	
140	110	240	55	Petition to revive - unavoidable		\$	
141	1,210	241	605	Petition to revive - unintentional		\$	
142	1,210	242	605	Utility issue fee (or reissue)		\$	
143	430	243	215	Design issue fee		\$	
144	580	244	290	Plant issue fee		\$	
122	130	122	130	Petitions to the Commissioner		\$	
123	50	123	50	Petitions related to provisional applications		\$	
126	240	126	240	Submission of Information Disclosure Statement		\$	
581	40	581	40	Recording each patent assignment per property (Times number of properties)		\$40.00	
146	760	246	380	Filing a submission after final rejection (37 C.F.R. §1.129(a))		\$	
149	760	249	380	For each additional invention to be examined (37 C.F.R. §1.129(b))		\$	
Other Fee (specify) _____						\$	
Other Fee (specify) _____						\$	
** Reduced by Basic Filing Fee Paid							
						<b>SUBTOTAL (3)</b>	<b>\$ 0.00</b>

**SUBMITTED BY****Complete (if applicable)**

Typed or Printed Name

Robert E. Bushnell, Esq.

Reg. Number

27,774

Signature

Date

27 September 2000

Deposit Account User ID